

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Joerg U. Ferchau; Jose A. Carreon; John C. Ahrens; Michael J. McKay

Assignee: Information Security Systems and Services Inc.

Title: Method and Apparatus for Validating Integrity of Software CFIVED

Serial No.: 09/899,359 Filing Date: July 5, 2001

Examiner: Unknown Group Art Ùnit: Unknown Technology Center 21 00

Docket No.: M-9912 US

Newport Beach, California October 3, 2001

COMMISSIONER FOR PATENTS Washington, D.C. 20231

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
  - 2. a representation that a search has been made, other than as described above; or
- 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

EXPRESS MAIL LABEL NO:

EV004869260US

Respectfully submitted,

Attorney for Applicant(s)

Reg. No. 46,231

e Kim

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Serial No. 09/899,359

Sheet 1 of 2

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U.S. Department of Commerce, Patent and Trademark Office						Atty Docket No.:		Serial No.: 09/899,359	
						M-9912 US			
? INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicant(s):			
(Use several sheets if necessary)						Joerg U. Ferchau; Jose A. Carreon; John C. Ahrens; Michael J. McKarchnolog  Filing Date: Group:			
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PATENT 8	T.			July 5, 2001		Unknown			
			U.S.	Patent Documents	·····				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate		
	AA	4,118,789	10/03/78	Casto et al.	364	900	06/06/7	77	
· · · · · · · · · · · · · · · · · · ·	AB	4,458,315	07/03/84	Uchenick	364	200	02/25/8	32	
	AC	4,654,792	03/31/87	Thomas	364	200	04/30/84		
	AD	4,685,055	08/04/87	Thomas	364	200	07/01/85		
	AE	5,379,342	01/03/95	Arnold et al.	380	2	01/07/93		
	AF	5,421,006	05/30/95	Jablon et al.	395	575	04/20/94		
	AG	5,754,761	05/19/98	Willsey	395	186	08/29/97		
	AH	5,745,669	04/28/98	Hugard et al.	395	182.01	06/21/96		
	AI	5,812,662	09/22/98	Hsu et al.	380	4	12/18/95		
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		Document	Date	Country	Class	Subclass	Yes	No	
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	AO								
	AP								
		OTHER	ART (Including	Author, Title, Date, Per	rtinent Pages, E	tc.)			
	AQ	Tripwire, Inc. web page, <a href="http://www.tripwire.com">http://www.tripwire.com</a> , copyright 2001 Tripwire, Inc., printed no later than 08/24/01							
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INFORMATION	DISCLOSURE S	STATEMENT B	Applicant(s	RIVED				
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CHENT'S THOUSE			July 5, 2001		Unknown			
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I I I	Document Number	Date	Name	Class	Subclass	Filing Date If Appropria		
AA :	5,944,821	08/31/99	Angelo	713	200	07/11/96		
AB :	5,958,051	09/28/99	Renaud et al.	713	200	01/09/97		
AC :	5,987,123	11/16/99	Scott et al.	380	4	07/03/96		
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		Foreign	n Patent Documents					
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AM								
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AO								
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	OTHER A	ART (Including A	Author, Title, Date, Pert	tinent Pages, Et	c.)			
AQ					_			
AR	31 B-12-147							
AS		A. 41						
Examiner	<del></del>	Date Considered						
*EXAMINER: Initial if a citation if not in conforma	reference consider	red, whether or n	not citation is in conform	nance with MP	EP 609; Draw	line through		